

ORGANIZATIONAL CONFLICTS OF INTERESTS **ORGANIZATIONAL CONFLICTS OF INTEREST REPRESENTATION STATEMENT**

I hereby certify (or as a representative of my organization, I hereby certify) that, to the best of my knowledge and belief, no facts exist relevant to any past, present, or currently planned interest or activity (financial, contractual, personal, organizational, or otherwise) which relate to the proposed work; and bear on whether I have (or the organization has) a possible conflict of interest with respect to (1) being able to render impartial, technically sound, and objective assistance or advice; or (2) being given an unfair competitive advantage (an unfair competitive advantage does not include the normal flow of benefits from the performance of the contract).

Signature: _____

Date: _____

Name: _____

Organization: _____

Title: _____

☐ 10k form is applicable and is attached

☐ 10k form is not applicable

ORGANIZATIONAL CONFLICTS OF INTEREST DISCLOSURE STATEMENT

I hereby certify (or as a representative of my organization, I hereby certify) that, to the best of my knowledge and belief, all relevant facts concerning past, present, or currently planned interests or activities (financial, contractual, personal, organizational, or otherwise) which relate to the proposed work and bear on whether I have (or the organization has) a possible conflict of interest with respect to (1) being able to render impartial, technically sound, and objective assistance or advice; or (2) being given an unfair competitive advantage (an unfair competitive advantage DOES not include the normal flow of benefits from the performance of the contract) are fully disclosed on the attached ____ page(s) and formatted to show:

- For ease of presentation, divide following data into four parts: organizational, contractual, financial, and other;
- The company, agency, organization in which you have a past, present, or currently planned interest or activity (financial, contractual, organizational, or otherwise);
- A brief description of relationship;
- The extent of relationship (e.g., value of financial interest of work; percent of total holdings, total work, etc.).

Signature: _____

Date: _____

Name: _____

Organization: _____

Title: _____

☐ 10k form is applicable and is attached

☐ 10k form is not applicable

ORGANIZATIONAL CONFLICTS OF INTEREST QUESTIONNAIRE
(To be completed with the OCI DISCLOSURE STATEMENT)

1. What is (are) the major type (s) of business conducted by you (or your organization)?
2. Provide the names and description of subsidiaries and affiliates. If none, so state.
3. Identify any subcontractors or consultants who will perform (under this proposal) either technical consulting, management support services, or evaluation services activities, and attach their certification(s) or representation(s) and supporting data. If none, so state.
4. Identify and list any financial, contractual, or other relationship with any entity your organization has which relates to the performance of the statement of work of this solicitation. Include what portion of your organization's total income for the current and preceding fiscal year you expect to derive or have realized from these relationships. If none, so state.
5. Identify any interests or involvement in technologies that are or may be the subject of the statement of work of this solicitation. If none, so state.
6. Identify any circumstance where performance of the statement of work of this solicitation would result in your organization's evaluating or inspecting (on behalf of FERMCO) services or products of your organization, affiliate, or entity with which your organization has a client, organizational, financial, or other relationship. If none, so state.
7. Identify any contracts, subcontracts, grants, cooperative agreements, or other arrangements with the Department of Energy, other Federal agency, or their contractors, or a subcontract of any tier under the Department of Energy's or other Federal agency's prime contract that relate to the work described in the statement of work of this solicitation. On each item identified, indicate whether a provision that prohibits proposing to perform or performing work of the type or nature involved in the statement of work of this solicitation exists. If none, so state.

I hereby certify that I have the authority to represent my organization. I further certify that the answers provided here are true to the best of my knowledge and belief.

Signature: _____

Name (Printed or Typed): _____

Title: _____

ORGANIZATIONAL CONFLICTS OF INTEREST (OCI)

- A. In compliance with Public Laws 93-39 (Section 401) and 95-70 (section 10), and Department of Energy Acquisition Regulation (DEAR) 909.570, which implements these laws, your offer must provide the information and assurances called for by below:

The offeror must submit the following documents for each of the entities listed in paragraph b. Below:

1. Either the organizational conflicts of interest representation or disclosure statement. A copy of the most current 10k form (or equivalent form) submitted to the securities and exchange commission and the most current annual report must be submitted. If not applicable, the offeror must so certify.
2. If the disclosure statement is signed, the OCI questionnaire below must be completed. If any question is answered "yes", an attachment showing the requested information must be attached.
3. The following entities and individuals are required to complete an OCI representation statement or an OCI disclosure statement with questionnaire:
 - a. Subcontractor proposing to FERMCO.
 - b. Lower tier Subcontractor(s) (unless the subcontractor is providing supplies only) and consultants at any tier.
 - c. All affiliates of the foregoing.
 - d. Any entities owned or represented by the chief executives or directors of: the subcontractor, any of the lower tier subcontractors, except for those only providing supplies; and, any consultants.
 - e. Chief executives and directors if they will be involved in performing the proposed work of: the subcontractor, lower tier subcontractors at any tier (except for those only providing supplies); consultants at any tier; and, affiliates of the foregoing.

- NOTICE -

Apart from other remedies allowed by law or contract, any nondisclosure or misrepresentation of relevant facts required by OCI may result in Department of Energy disqualification from future FERMCO and Government contracts.

Complete either the OCI representation statement (stating that or the certification (disclosure) statement. Do not complete both.

If you object to the release of this information to FERMCO, direct submission to DOE may be arranged. Refusal to comply with OCI requirements may result in disqualification for award.